

# N. B. I. INDUSTRIAL FINANCE COMPANY LIMITED

CIN No. L65923WB1936PLC065596

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## COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 30<sup>TH</sup> September, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Ashish Kedia, Compliance Officer of N. B. I. Industrial Finance Co. Ltd. (name of listed entity), am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
  2. control exists as to who can access the SDD
  3. all the UPSI disseminated in the previous quarter have been captured in the Database
  4. the system has captured nature of UPSI along with date and time
  5. the database has been maintained internally and an audit trail is maintained
  6. the database is non-tamperable and has the capability to maintain the records for 8 years.
- (Relevant points from 1 to 6 can be striked off in the case of non-compliance)

I also confirm that the Company was required to capture 1 number of event during the quarter ended and has captured 1 number of the said required event.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: Nil

For N. B. I. Industrial Finance Co. Ltd.

*Ashish Kedia*

Ashish kedia  
Compliance Officer  
Date: 17-10-2023



Place: Kolkata